

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/010,919	01/23/1998	CHRISTOPHER J. ORDISH	3432.73540	9245
7590 01/07/2004 BANNER & WITCOFF LTD 1001 G STREET NW WASHINGTON, DC 200014597			EXAMINER	
		KALINOWSKI, ALEXANDER G		
			ART UNIT	PAPER NUMBER
			3626	

DATE MAILED: 01/07/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

·	Application No.					
•	Application No.	Applicant(s)				
Office Action Summary	09/010,919	ORDISH ET AL.				
office Action Summary	Examiner	Art Unit				
7	Alexander Kalinowski	3626				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). Status	36(a). In no event, however, may a reply be timy within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
1) Responsive to communication(s) filed on 25 Se	eptember 2003.					
2a) ☐ This action is FINAL . 2b) ☒ This	action is non-final.					
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)⊠ Claim(s) <u>See Continuation Sheet</u> is/are pendin	a in the application					
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>43,45-47,50-66,68,70-72,75-91,93-95,99-101,103,104,106-108,110-116 and 121-123</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/o	r election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is obj	ected to. See 37 CFR 1.121(d).				
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. §§ 119 and 120						
12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:	. ,)-(d) or (f).				
1. Certified copies of the priority documents have been received.						
 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage 						
application from the International Bureau	ı (PCT Rule 17.2(a)).	_				
* See the attached detailed Office action for a list						
13) Acknowledgment is made of a claim for domestic since a specific reference was included in the firs 37 CFR 1.78.	of the specification or	in an Application Data Sheet.				
a) The translation of the foreign language pro						
14)⊠ Acknowledgment is made of a claim for domestic reference was included in the first sentence of the	c priority under 35 U.S.C. §§ 120 e specification or in an Applicatio	and/or 121 since a specific n Data Sheet. 37 CFR 1.78.				
Attachment(s)						
) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413) Paper No(s)				
Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) Notice of Informal Pa	atent Application (PTO-152)				
) 🔲 Information Disclosure Statement(s) (PTO-1449) Paper No(s)	6) 🔲 Other: .					

Continuation of Disposition of Claims: Claims pending in the application are 43,45-47,50-66,68,70-72,75-91,93-95,99-101,103,104,106-108,110-116 and 121-123.

Application/Control Number: 09/010,919 Page 2

Art Unit: 3626

DETAILED ACTION

1. Claims 43, 45-47, 50-66, 68, 70-72, 75-91, 93-95, 99-101, 103, 104, 106-108, 110-116 and 120-122 are presented for examination. Applicant filed a request for a continuing prosecution application. Applicant further filed a preliminary amendment on 7/13/2001 canceling claims 96, 102, 105, and 109 and amending claims 43, 54, 59, 62, 63, 67, 68, 79, 84, 87, 88, 92, 93, 100, 103, 104, 106, 108, 110, 112, 113, and 117. Applicant further filed an amendment on 4/15/2002 amending claims 43, 50, 54, 56, 59. $61-64,\,67-68,\,75,\,79,\,81,\,84,\,86-89,\,92,\,93,\,97,\,103,\,104,\,108,\,110,\,112-114,\,and\,117$ and adding new claims 118-120. Applicant further filed an amendment on 10/30/2002, canceling claim 120 and amending claims 43, 44, 56, 57, 59, 62, 62-69, 82, 84, 87, 92, 93, 103, 107, 110, 112, and 117. Applicant filed an amendment on 4/24/2003, amending claims 43, 46-49, 52-58, 62, 63, 66-68, 71-74, 77-83, 87-88, 92-93, 97-100, 103-104, 106-108, 112-113, and 116-119. Applicant further filed a request for continued examination on 9/25/2003, along with a preliminary amendment. In light of Applicant's preliminary amendment, the Examiner withdraws the outstanding grounds of rejection based on 35 USC 103. New grounds of rejection are established in the instant office action as set forth in detail below.

Response to Arguments

2. Applicant's arguments with respect to claims 43, 45-47, 50-66, 68, 70-72, 75-91, 93-95, 99-101, 103, 104, 106-108, 110-116 and 120-122 have been considered but are most in view of the new ground(s) of rejection.

Application/Control Number: 09/010,919 Page 3

Art Unit: 3626

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 43, 45-47, 50-66, 68, 70-72, 75-91, 93-95, 99-101, 103, 104, 106-108, 110-116 and 120-122 are rejected under 35 U.S.C. 103(a) as being unpatentable over Silverman et al., EP0399850 A2 (hereinafter Silverman) in view of Hartheimer et al., Pat. No. 5,305,200 (hereinafter Hartheimer) and Bantz et al., Pat. No. 4,376,982 (hereinafter Bantz)

With respect to claim 43, Silverman discloses a system for exchanging signals relating to at least a bid and an offer (see abstract), the system comprising: a network (unit 22) connected to workstations, units (26a) and (26b) (Fig. 1); a first workstation (unit 24a) of said workstations, said first workstation sending a first signal to said network signaling a bid in response to an initial offer (i.e. trader decides to enter a bid or enter an offer in an effort to complete matching a transaction. Key station 24a submits bid transaction to central system 20)(see Fig. 6 and col. 18, lines 35-50); and

a second workstation of said workstations, said second workstation receiving a second signal indicative of said bid from said network (Directed Msg. B) and sending an acknowledgment of said received bid to said network (Directed Msg.-Ack B)(i.e. directed

Art Unit: 3626

message sent to the counterparty workstations and associated directed message acknowledgment) and (see Fig 6 and col. 19, lines 26-32 and lines 48-52) said network sending at least a third signal to said first workstation and at least a fourth signal to said second workstation, said at least third and said at least fourth signals indicating acknowledgment of said acknowledgment from said second workstation (i.e. the system generates directed messages to the counterparties, the associated directed message acknowledgments and the IXM update broadcast message 132 to all keystations 24 including 24a and 24b)(col. 19, lines 26-32 and col. 20, lines 3-11). Silverman does not explicitly disclose

wherein at least one of said network, said first workstation and said second workstation determines when one of said acknowledgments has not been received during an interval. However, Hartheimer discloses wherein at least one of said network, said first workstation and said second workstation determines when one of said acknowledgments has not been received during an interval (i.e. requester's workstation does not receive ... message from quoter's workstation within a predetermined time ...)(Fig. 6 and col. 7, lines 29-37). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include wherein at least one of said network, said first workstation and said second workstation determines when one of said acknowledgments has not been received during an interval as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61).

Art Unit: 3626

Silverman and Hartheimer do not explicitly disclose

said second workstation having a confirmation timer for measuring the time elapsed from reception of said second signal or from sending acknowledgement until the second workstation receives the third signal, the third signal comprising a confirmed trade signal.

However, Bantz discloses said second workstation having a confirmation timer for measuring the time elapsed from reception of said second signal or from sending acknowledgement until the second workstation receives the third signal, the third signal comprising a confirmed transaction signal (col. 1, line 53 – col. 2, line 2). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include said second workstation having a confirmation timer for measuring the time elapsed from reception of said second signal or from sending acknowledgement until the second workstation receives the third signal, the third signal comprising a confirmed transaction signal as disclosed by Bantz within the Silverman and Hartheimer combination for the motivation of not requiring special features in a communications system especially between requestors and responders (col. 1, lines 7-11 and col. 2, lines 3-11).

With respect to claim 45, Silverman discloses the system according to claim 43, further comprising at least one storage node for recording the completion of a purchase relating to said bid (i.e. order database 114 and 116)(col. 16, lines 25-39).

With respect to claim 46, Silverman discloses the system according to claim 43. Silverman does not explicitly disclose wherein prior to the transmission of said first signal by said first workstation, said second workstation transmits said initial offer to said network.

However, Silverman does disclose a user of a first workstation decides to enter a bid or enter an offer in an effort to complete matching a transaction (col. 6, lines 61-63). Since the system matches the bid or offer of the user of the first workstation with the initial bid or offer of the second workstation, the user of the first workstation could have entered a bid or offer in response to the initial bid or offer of the user of the second workstation. It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include wherein prior to the transmission of said first signal by said first workstation, said second workstation transmits said initial offer to said network within the Silverman system since the first workstation submits a bid or response in an effort to complete a matching transaction (col. 8, lines 15-18).

With respect to claim 47, Silverman does not explicitly disclose the system according to claim 46, said network generating and transmitting an acknowledgment of said initial bid to said second workstation.

However, Silverman does disclose the network generating and transmitting an acknowledgment of the first workstation sending a first signal to said network signaling a bid in response to an initial offer (see Fig. 6, CMD-ACK 122). The purpose of the acknowledgment signal is for the network to acknowledge receipt of a transaction signal from the first workstation (col. 8, lines 42-45). Although Silverman does not explicitly

disclose a command acknowledgment of the initial transactional signal from the second workstation, it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include the system according to claim 46, said network generating and transmitting an acknowledgment of said initial bid to said second workstation within Silverman in order to acknowledge receipt of a transactional message from the second workstation (col. 10, lines 27-31).

With respect to claim 50, Silverman and Hartheimer do not explicitly disclose the system according to claim 43, wherein said second workstation further comprises: a storage unit for storing an indication that a purchase relating to said bid was not completed upon the elapsed time measured by said confirmation timer exceeding a predetermined confirmation timeout period.

However, Hartheimer discloses a storage unit for storing an indication that a purchase relating to said bid was not completed upon the elapsed time measured by said confirmation timer exceeding a predetermined confirmation timeout period (i.e. electronic transaction log)(col. 3,

lines 42-50 and col. 7, lines 12-65). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include a storage unit for storing an indication that a purchase relating to said bid was not completed upon the elapsed time measured by said confirmation timer exceeding a predetermined confirmation timeout period as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61).

Art Unit: 3626

With respect to claim 51, Silverman does not explicitly disclose a display for displaying a late confirmation was received upon said second workstation receiving said third signal after said predetermined confirmation timeout period has expired for said purchase.

However, Hartheimer discloses a display for displaying a late confirmation was received upon said second workstation receiving said third signal after said predetermined confirmation timeout period has expired for said purchase (i.e. electronic transaction log which can be printed)((col. 3, lines 42-50 and col. 7, lines 12-65). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include a display for displaying a late confirmation was received upon said second workstation receiving said third signal after said predetermined confirmation timeout period has expired for said purchase as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61).

With respect to claim 52, Silverman discloses the system according to claim 43, wherein said network further comprises:

a computer for matching bids and offers from said workstations in accordance with predetermined matching criteria (i.e. the central system 20 validates the transaction request and attempts to find matches between this new entry and other bids and offers posted in the system book subject to counterparty credit limits)(col. 8, lines 29-37).

With respect to claim 53, Silverman does not explicitly disclose

Art Unit: 3626

an acknowledgment timer for measuring the time elapsed from reception of said first signal by said network from said first workstation until reception of said acknowledgment by said network from said second workstation; and a storage unit for storing an indication that a purchase was not acknowledged upon the elapsed time measured by said acknowledgment timer exceeding a predetermined acknowledgment timeout period.

However, Hartheimer discloses an acknowledgment timer for measuring the time elapsed from reception of said first signal by said network from said first workstation until reception of said acknowledgment by said network from said second workstation (i.e. requester's workstation does not receive ... message from quoter's workstation within a predetermined time ...)(Fig. 6 and col. 7, lines 29-37). Hartheimer further discloses a storage unit for storing an indication that a purchase was not acknowledged upon the elapsed time measured by said acknowledgment timer exceeding a predetermined acknowledgment timeout period (i.e. electronic transaction log)(col. 3. lines 42-50 and col. 7, lines 12-65). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include an acknowledgment timer for measuring the time elapsed from reception of said first signal by said network from said first workstation until reception of said acknowledgment by said network from said second workstation; and a storage unit for storing an indication that a purchase was not acknowledged upon the elapsed time measured by said acknowledgment timer exceeding a predetermined acknowledgment timeout

Art Unit: 3626

period as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61).

With respect to claim 54, Silverman discloses a method for acknowledging the receipt signals relating to bids and offers in an electronic trading system, said electronic trading system including a network and at least first and second workstations coupled to a network (see abstract and Fig. 6), the method comprising the steps of:

sending an offer from the first workstation to the network in response to an initial bid (i.e. trader decides to enter a bid or enter an offer in an effort to complete matching a transaction. Key station 24a submits bid transaction to central system 20)(see Fig. 6 and col. 18, lines 35-50);

receiving the offer from said network at the second workstation (i.e. directed Msg. B 128)(Fig. 6);

sending from the second workstation to said network an acknowledgment of the receipt of the offer (i.e. Directed Msg.-Ack B)(Fig. 6); and

sending from the network to the first and second workstations an indication that the network acknowledges the acknowledgment from said second workstation (i.e. Broadcast Msg. 132)(Fig. 6).

Silverman does not explicitly disclose determining when said indication that the network acknowledges the acknowledgment from said second workstation has not been received during an interval.

Art Unit: 3626

However, Hartheimer discloses determining when at least one of said acknowledgments has not been received during an interval (i.e. requester's workstation does not receive ... message from quoter's workstation within a predetermined time ...)(Fig. 6 and col. 7, lines 29-37). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include determining when said indication that the network acknowledges the acknowledgment form said second workstation has not been received during an interval as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61).

Silverman and Hartheimer do not explicitly disclose

receiving from the host an indication that the host acknowledges the acknowledgement from the second workstation.

However, Bantz discloses receiving from the host an indication that the host acknowledges the acknowledgement from the second workstation (col. 1, lines 53 – col. 2, line 2). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include receiving from the host an indication that the host acknowledges the acknowledgement from the second workstation as disclosed by Bantz within the Silverman and Hartheimer combination for the motivation of not requiring special features in a communications system especially between requestors and responders (col. 1, lines 7-11 and col. 2, lines 3-11).

Art Unit: 3626

With respect to claim 55, Silverman does not explicitly disclose the method according to claim 54, further comprising the steps of:

Silverman does not explicitly disclose

receiving an acknowledgment of the initial bid from the network at the second workstation.

However, Silverman does disclose the network generating and transmitting an acknowledgment of the first workstation sending a first signal to said network signaling a bid in response to an initial offer (see Fig. 6, CMD-ACK 122). The purpose of the acknowledgment signal is for the network to acknowledge receipt of a transaction signal from the first workstation (col. 10, lines 27-31). Although Silverman does not explicitly disclose a command acknowledgment of the initial transactional signal from the second workstation, it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include the system according to claim 46, said network generating and transmitting an acknowledgment of said initial bid to said second workstation within Silverman in order to acknowledge receipt of a transactional message from the second workstation (col. 10, lines 27-31).

With respect to claim 59, Silverman discloses a computer-readable medium having computer-executable instructions for performing steps (see abstract, Fig. 1 and Fig. 6) comprising:

receiving at a networked processor an offer from a first workstation in response to an initial bid (i.e. trader decides to enter a bid or enter an offer in an effort to complete

Art Unit: 3626

matching a transaction. Key station 24a submits bid transaction to central system 20)(see Fig. 6 and col. 18, lines 35-50);

sending the offer from the networked processor to a second workstation (i.e. directed Msg. B 128)(Fig. 6); and

sending from the networked processor to the first and second workstations an indication that the networked processor received the acknowledgment of the transaction (i.e. Broadcast Msg. 132)(Fig. 6).

Silverman does not explicitly disclose

receiving an alarm from one of said workstations notifying said networked processor that said indication that the networked processor received the acknowledgment was not received by one of the workstations during an interval.

However, Hartheimer discloses receiving an alarm from one of said workstations that the acknowledgment was not received by one of the workstations during an interval ((i.e. requester's workstation does not receive ... message from quoter's workstation within a predetermined time ...)(Fig. 6 and col. 7, lines 29-37). It would have been obvious to one of ordinary skill in the art at

the time of Applicant's invention to include receiving an alarm from one of said workstations notifying said networked processor that said indication that the networked processor received the acknowledgment was not received by one of the workstations during an interval as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61).

Art Unit: 3626

Silverman and Hartheimer do not explicitly disclose receiving at the networked processor from the second workstation an acknowledgment of a transaction based on the offer from the second workstation at the networked processor.

However, Bantz discloses receiving at the networked processor from the second workstation an acknowledgment of a transaction based on the offer from the second workstation at the networked processor (col. 1, line 53 – col. 2, line 2). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include receiving from the host an indication that the host acknowledges the acknowledgement from the second workstation as disclosed by Bantz within the Silverman and Hartheimer combination for the motivation of not requiring special features in a communications system especially between requestors and responders (col. 1, lines 7-11 and col. 2, lines 3-11).

With respect to claim 60, Silverman does not explicitly disclose the computer-readable medium of claim 59 having further computer-executable instructions for performing the following steps:

receiving at the networked processor the initial bid from the second workstation.

However, Silverman does disclose a user of a first workstation decides to enter a bid or enter an offer in an effort to complete matching a transaction (col. 8, lines 15-18). Since the system matches the bid or offer of the user of the first workstation with the initial bid or offer of the second workstation, the user of the first workstation could have entered a

Art Unit: 3626

bid or offer in response to the initial bid or offer of the user of the second workstation. It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include receiving at the networked processor the initial bid from the second workstation within the Silverman system since the first workstation submits a bid or response in an effort to complete a matching transaction (col. 8, lines 15-18). Silverman does not explicitly disclose sending an acknowledgment of the initial bid from the networked processor to the second workstation.

However, Silverman does disclose the network generating and transmitting an acknowledgment of the first workstation sending a first signal to said network signaling a bid in response to an initial offer (see Fig. 6, CMD-ACK 122). The purpose of the acknowledgment signal is for the network to acknowledge receipt of a transaction signal from the first workstation (col. 10, lines 27-31). Although Silverman does not explicitly disclose a command acknowledgment of the initial transactional signal from the second workstation, it would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include the system according to claim 46, said network generating and transmitting an acknowledgment of said initial bid to said second workstation within Silverman in order to acknowledge receipt of a transactional message from the second workstation (col. 10, lines 27-31).

With respect to claim 62, Silverman discloses a first workstation participating in the exchange of signals, the signals including at least a bid and an offer, the first

Art Unit: 3626

workstation connected to a network, said network connected to at least a second workstation (see abstract and Fig. 1), said first workstation comprising: a receiver unit 24a for receiving an initial offer (i.e. trader can decide whether to enter a bid or enter an offer in an effort to complete matching a transaction) (see Fig. 6 and col. 8, lines 15-25);

a processor unit 20 for processing said initial offer (col. 8, lines 29-37);

an output for outputting a first signal to said network, said first signal signaling a bid in response to said initial offer (i.e. trader decides to enter a bid or enter an offer in an effort to complete matching a transaction. Key station 24a submits bid transaction to central system 20)(see Fig. 6 and col. 18, lines 35-50);

said receiver also receiving a second signal wherein said second signal indicates the acknowledgment of a receipt of said first signal by said second workstation (i.e. Broadcast Msg 132)(Fig. 6).

Silverman does not explicitly disclose

a confirmation timer for measuring time elapsed from said workstation receiving a match notification signal or sending a match acknowledgement signal until said workstation receives a corresponding different trade confirmation signal and an unconfirmed trade signal when said acknowledgment was not received during an interval. However, Hartheimer discloses a confirmation timer for measuring time elapsed from said workstation receiving or sending one of specific signals until said workstation receives a corresponding different one of specific signals and a third signal when said acknowledgment was not received during an interval (i.e. requester's workstation does

Art Unit: 3626

not receive ... message from quoter's workstation within a predetermined time ...)(Fig. 6 and col. 7, lines 29-37). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include a confirmation timer for measuring time elapsed from said workstation receiving or sending one of specific signals until said workstation receives a corresponding different one of specific signals and a third signal when said acknowledgment was not received during an interval as disclosed by Hartheimer within the Silverman system for the motivation of guaranteeing delivery of digital messages in a network particularly in trading systems (col. 6, lines 41-61). Furthermore, Bantz discloses a confirmation timer for measuring time elapsed from said workstation receiving a match notification signal or sending a match acknowledgement signal until said workstation receives a corresponding different trade confirmation signal. and an unconfirmed trade signal when said acknowledgment was not received during an interval (col. 1, line 53- col. 2, line 2). It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to include a confirmation timer for measuring time elapsed from said workstation receiving a match notification signal or sending a match acknowledgement signal until said workstation receives a corresponding different trade confirmation signal. and an unconfirmed trade signal when said acknowledgment was not received during an interval as disclosed by Bantz within the Silverman and Hartheimer combination for the motivation of not requiring special features in a communications system especially between requestors and responders (col. 1, lines 7-11 and col. 2, lines 3-11).

Application/Control Number: 09/010,919 Page 18

Art Unit: 3626

Claims 63-66, 68, 70-72, 75-91, 93-95, 99-101, 103, 104, 106-108, 110-116, and 121-123 are similar in scope to claims 43, 45-47, and 50-62 and are rejected on the same basis.

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alexander Kalinowski, whose telephone number is (703) 305-2398. The examiner can normally be reached on Monday to Thursday from 9:00 AM to 6:30 PM. In addition, the examiner can be reached on alternate Fridays.

If any attempt to reached the examiner by telephone is unsuccessful, the examiner's supervisor, Joseph Thomas, can be reached on (703) 305-9588. The fax telephone number for this group is (703) 305-7687 (for official communications including After Final communications labeled "Box AF").

Hand delivered responses should be brought to Crystal Park 5, 2451 Crystal Drive, Arlington, VA, 7th Floor, receptionist.

Alexander Kalinowski

aliende Calinarde:

Primary Examiner

Art Unit 3626

12/29/03